

GOVERNMENT OF KARNATAKA
Karnataka State Rural Livelihoods Promotion Society
4th Floor, Abhaya Complex, Slum Development Board Risaldar
Road, Bangalore-560009
Email: kanrlm.fin@gmail.com, nrlmkarnataka@gmail.com.

**Invitation for Expression of Interest (EOI) for Hiring of
Statutory Auditor for Financial Year 2014-15**

Letter of Invitation of Expression of Interest,

The KSRLPS is seeking "Expression of Interest" from the eligible registered agencies to submit their interest for providing services for "Statutory Auditor for Financial Year 2014-15" through e-procurement web portal.

The consulting services: "**Hiring Chartered Accountant Firm for Statutory Audit of KSRLPS for 2014-15**" under National Rural Livelihoods Mission and National Rural Livelihood Project. KSRLPS is an Autonomous body of Karnataka Government wishes to undertake the work of Statutory Audit of program Implementing units called as District Mission Management units (DMMU) located 5 intensive District, 25 Non Intensive District and Karnataka State Rural Livelihood Promotion Society Located in the Bangalore, Karnataka.

Detailed Terms of reference for the assignment may be downloaded from our website: www.rdpr.kar.nic.in.

THE SHORT-LISTING CRITERIA ARE AS FOLLOWS.

1. Firm must have an Average Annual Turnover of Rs. 25.00 lakhs in the last three years (Copy of the audited financial statement such as receipt and payment , profit and loss account and balance sheet to be provided with **CA Certified Turnover copy**)
2. Auditor must be empanelled under Comptroller Auditor and General (CAG). (Copy of empanelment should be provided)
3. Firms should have experience of at least 05 years audit work of **Central/State Government/Externally aided Projects in the social/rural development sector.**
4. The Chartered Accountancy firm shall have sufficient number of qualified and semi qualified personnel to handle the audit of the project. The break up details are
 - a) Partners of Firm i.e. (minimum of 2 partners) number of full time chartered accountant partner & associate partners and their experiences.
 - b) Other staff as per our requirement.

- 5 Partners & Staff shall be familiar with local language (Kannada)
- 6 Head office of firm should be in Karnataka.

The interested firm should register on e-procurement platform for submitting the EoI online. Please contact e-governance for registration of your Firm.

The Contact Person for any clarification:

Mr. Satish, Accounts Officer, KSRLPS.

Contact Number: 080- 23083000

The EOI may be submitted online on the e-procurement platform before 5.00 PM, 25 May 2015.

Sd/-
Mission Director
Karnataka State Rural livelihood Promotion Society

Tabular format for Short listing

<u>Sl. No.</u>	<u>Particulars</u>	<u>Details</u>	<u>Documents to be submitted</u>
1.	Brief Profile of the firm	Attach	ICAI Certificate
2.	Firm Empanelment with CAG	No.....	CAG empanelment Number and registration certificate
3.	Partnership Firm	1. No. of Partners____ 2. Name of Partners____	Details of the Partners and their experience and registration certificate

4.	Average Annual Turnover during the last three financial years	Year ----- ----- ----- Average	Amount ----- ----- -----	Receipt and payment , profit and loss account and Balance sheet of the three year and certificate of turnover by CA for three years
5.	General Experience of Financial Accounting/ Audit in Rural and Social Sector Number of years of overall experience In World Bank & Other externally aided project In Govt. Sector In reputed NGOs In other sectors	_____ _____ _____ _____ ----- -----		Supporting Documents to show the certificate of satisfactory completion
6.	Similar Experience of Statutory Audit Services			Proof of the work order issued and satisfactory completion certificate
	Sl. No.	Name of Assignment	Name of Client	
	1			
	2			
	3			
	4....			
7.	Total Manpower Strength of Firm	1. No. of Partner_____ 2. No. of semi qualified staff____ 3. Other Staff_____		Details on partners, semi qualified and other staff
8.	Local Presence (Head office)			Details of the Office with knowledge in kannada
9.	Address of Head office with phone and Email.			-

GENERAL PARTICULARS OF FIRM

Name of the Firm	
Registered Address	
Phone No :	
Email Id :	
Name of the Contact Person for this EoI	
Phone No. of Contact Person for this EoI	
Email Id of Contact Person for this EoI	
Branch offices if any	

Date of Firm's establishment	
Registration No. with ICAI	
Registration No. with CAG	
Is the firm empanelled on the CAG major list of auditors	
Registration No. with RBI (if any)	
Number of full time partners 1. Fellow 2. Associate	
Number of full time qualified audit staff	
Number of other audit staff who are semi qualified / unqualified	

FINANCIAL PARTICULARS OF THE FIRM

Financial year	Turnover* from audit	Turnover from other activities	Total Turnover
2011-12			
2012-13			
2013-14			

"Turnover*" would mean the professional fee earned excluding service tax and travelling, if billed separately

PARTNERS BRIEF PROFILE**

Name of Partner/ M.No.	Age	Associate/ Fellow	Years of Post Qualification Experience	Qualification/s	Years of experie nce as signing partner	Name of clients handled	Number of years associated with the firm (post qualification)

**Detailed CVs can be provided separately which includes details of clients handled with nature of assignment.

FULL TIME QUALIFIED AUDIT STAFF BRIEF PROFILE

Name of Staff/M.No.	Age	Years of experience	Qualification	Number of years associated with the firm (post qualification)	Brief nature of work done

**Detailed CVs can be provided separately

OTHER AUDIT STAFF BRIEF PROFILE**

Name of Staff	Age	Years of experience	Qualification	Number of years associated with the firm	Audit assignments where the staff has worked with year

**Detailed CVs can be provided separately

RELEVANT ASSIGNMENTS - LAST FIVE YEARS ***

Name of Project / Agency Audited	(1) Funded by Multilateral / Bilateral funding agency; (2) Agencies implementing government projects (other than above); Or (3) Public sector undertakings in the same sector (Rural Development). Please specify 1,2,3	Client Name	Nature of Audit	Year of Audit / Year of Work Done	partner who signed the Audit report	Client turnover /project expenditure audited	Audit fees
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*** Detailed citation of work can be provided separately. Please also attach self-attested copies of the appointment letters for the above assignments

DECLARATION

To

Mission Director ,

Declaration

We hereby confirm that:

- (a) The audit firm is not the incumbent Internal Auditor of the project or the Project Implementing Agency (PIA).
- (b) No partner of the audit firm or any qualified employee of the firm is related to any member of the Governing Body / Executive Committee / Board of Directors of the Project Director / Managing Director / any Director or any of the senior management (as applicable) of the PIS. Relative would mean husband, wife, brother, or sister or any lineal ascendant or descendant.
- (c) Neither the firm nor its Partners or Associates have any interest in the business of the PIA.
- (d) From the time of appointment and for one year after (to be counted from the date of issue of final audit report) the Firm ceases to be Auditor, no other assignment of any kind to the PIA/project (including consultancy) will be accepted, either by the firm or by its partners or relatives of partners of the firm or by its associates.
- (e) The audit will not be done by a person who was either an employee in the project or a partner / employee of the retiring auditor, unless such person is employed with the firm for at least one year.
- (f) The partners/qualified staff neither face any pending disciplinary action nor is found guilty of professional / other misconduct by the Institute of Chartered Accountants of India under the First or Second Schedule of the Chartered Accountants Act, 1949

In case of any further changes which affect this declaration at a later date; we would inform the project appropriately. If any of the above are found to be false, we understand that the PIA can report this to the Institute of Chartered Accountants of India and / or the Firm will not be eligible to bid for any audit contracts of projects financed by the World Bank.

(to be signed by an authorized partner of the Firm, on behalf of the Firm)